

## **SPARSHOLT PARISH COUNCIL**

### **RECORDS MANAGEMENT POLICY**

Sparsholt Parish Council recognises that the efficient management of its records is necessary to comply with its legal and regulatory obligations and to contribute to the effective overall management of the Parish Council. This document provides the policy framework through which this effective management can be achieved and audited. It covers:

- Scope
- Responsibilities
- Relationships with existing policies
- Retention Schedule

#### **Scope of the policy**

This policy applies to all records created, received or maintained by the Parish Council in the course of carrying out its functions.

Records are defined as all those documents which facilitate the business carried out by the Parish Council and which are thereafter retained (for a set period) to provide evidence of its transactions or activities. These records may be created, received or maintained in hard copy or electronically.

#### **Responsibilities**

The Parish Council has a corporate responsibility to maintain its records and record management systems in accordance with the regulatory environment. The person with overall responsibility for this policy is the Clerk to the Parish Council.

The person responsible for records management will give guidance for good records management practice and will promote compliance with this policy so that information will be retrieved easily, appropriately and timely.

Councillors and the Clerk must ensure that records for which they are responsible are accurate and are maintained and disposed of in accordance with the Parish Council's Records Management policy.

All email correspondence relating to items set out within the retention of document schedule below must be copied to the Clerk, or originated by the Clerk, who will then ensure retention for the required period.

#### **Retention Schedule**

Under the Freedom of Information Act 2000, the Parish Council is required to maintain a retention schedule listing the record series which it creates in the course of its business. The retention schedule lays down the length of time which the record needs to be retained and the action which should be taken when it is of no further administrative use.

Councillors and the Clerk are expected to manage their current record keeping systems using the retention schedule and to take account of the different kinds of retention periods when they are creating new record keeping systems.

The retention schedule refers to record series regardless of the media in which they are stored.

## **PLANNING PAPERS**

### **Permission Granted**

All papers retained until the development has been completed to allow the Council to check that the development proceeds in accordance with the terms of the permission.

### **Appeal decisions**

These should be retained indefinitely as it may be required should there be longer term Implications, e.g the decision creates a precedent for other developments in the locality

### **Permission Refused**

All papers should be retained until the period in which an appeal can be made has expired. If a resulting appeal is dismissed the documentation should be retained in case further applications relating to the same site result.

### **Structure Plans and Local Plans**

These should also be retained.

## RETENTION OF DOCUMENTS REQUIRED FOR THE AUDIT OF PARISH COUNCILS

Document	Minimum Retention Period
Signed Minutes	Indefinite
Receipt and payment account(s)	Indefinite
Annual Return	Indefinite
Receipt Books	6 years
Bank statements, including deposit/savings accounts	Last completed Audit
Bank paying-in books	Last completed Audit
Cheque book stubs	Last completed Audit
Quotations and tenders	12 years/indefinite
Paid invoices	6 years
Paid cheques	6 years
VAT records	6 years
Insurance policies	6 years
Certificates for Insurance against liability for employees	50 years
Certificate of Public Liability	21 years
Title deeds, leases, agreements, contracts	Indefinite
Members allowances register	6 years
Sound recordings	1 year
General Correspondence excl. email	6 years/at Clerk's discretion*
General Email Correspondence	1 year**
Names & Emails provided for receipt of newsletter	Removed from active distribution on request and deleted after one year for administrative reasons

\*There are no firm guidelines for the retention of general correspondence. However, an annual review of all documentation should be carried out with ephemeral items marked for destruction and the remainder being considered for archiving or transfer to the County Record Office as appropriate.

\*\*Any correspondence that copies in the Clerk can be delated at the Councillor's discretion. Any correspondence to or from a Councillor not copied to the Clerk is to be maintained for 1 year.

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